



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

| | | | |
|---|-------------------------------|------------------------------|------------------|
| | | Docket Number: 12170/6 | |
| Application Number 09/809,025 | Filing Date March 16, 2001 | Examiner Not Yet Assigned | Art Unit 2812 |
| Invention Title DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFORE | | Inventor(s) LANGE et al. | |

Addressed to:

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, V.A. 22313-1450 on

Date: May 22, 2006

Signature: Dalia S. Grimberg
Dalia S. Grimberg, Reg. No. 47,611

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
2. Copies of each specified patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated on the modified PTO form 1449.
3. The Commissioner is authorized to charge Kenyon & Kenyon's Deposit Account No. 11-0600 for the **\$180.00** fee for filing this Supplemental Information Disclosure Statement. A duplicate copy of this communication is enclosed for charging purposes.

Date: May 22, 2006

By:

Dalia S. Grimberg
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| SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS PTO FORM 1449 | | Atty. Docket No. 12170/6 | Serial No. 09/809,025 |
| Applicant(s) LANGE et al. | | | |
| Filing Date March 16, 2001 | | Group 2812 | |

U. S. PATENT DOCUMENTS

| EXAMINER'S INITIALS | PATENT NUMBER | PATENT DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|---------------------|-----------------------------|-----------------------------|----------------|-------|----------|-------------|
| | Pub. No. US 2001/0051540 A1 | Pub. Date December 13, 2001 | Hindman et al. | | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER'S INITIALS | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----------------|------|---------|-------|-----------|-------------|
| | | | | | | YES |
| | | | | | | NO |

OTHER DOCUMENTS

| EXAMINER'S INITIALS | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. | | |
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| EXAMINER | DATE CONSIDERED |
|---|-----------------|
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |